

Receipt  
#4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gitis et al.  
Assignee: Maxtor Corporation  
Title: MAGNETIC HEAD SLIDER WITH RESISTANCE TO DEBRIS  
ACCUMULATION  
Serial No.: 09/491,284 Filed: January 26, 2000  
Examiner: Unknown Group Art Unit: 2754  
Atty. Docket No.: 3123-149-1

Assistant Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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TC 2700 MAIL ROOM

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the captioned-application for which a corrected filing receipt is respectfully requested.

The attorney docket no. should be 3123-149-1, as indicated in the Transmittal.

The drawings should be 3, as indicated in the Transmittal.

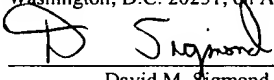
The continuing data should indicate that the '234 application is a continuation-in-part of U.S. Application Serial No. 07/992,270, filed December 14, 1992 (abandoned), as indicated in the Transmittal, the Preliminary Amendment, the Declaration in the '234 application, and the Corrected Filing Receipt in U.S. Application Serial No. 09/416,134, filed October 12, 1999.

The title should say "DEBRIS" instead of "DEBERIS", as indicated in the Transmittal, the Preliminary Amendment and the Declaration in the '270 application.

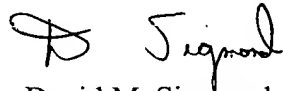
The corrections are noted in red ink on the attached copy of the filing receipt.

Copies of the relevant portions of the Transmittal and Preliminary Amendment in the captioned-application, the Declaration in the '270 application, the Declaration in the '234 application and the Filing Receipt in the '134 application are enclosed.

Please charge any fee due for these corrections to Deposit Account No. 13-0016/149-1.

|  |                   |
|--|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 20, 2000. |                   |
|   | 4 / 20 / 00       |
| David M. Sigmond<br>Attorney for Applicant   | Date of Signature |

Respectfully submitted,

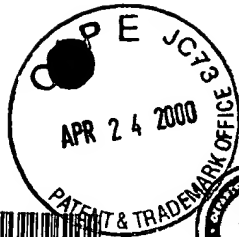


David M. Sigmond  
Attorney for Applicant  
Reg. No. 34,013  
(303) 702-4132  
(303) 678-3111 (fax)

## FILING RECEIPT



\*OC00000005042980\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/491,284         | 01/26/2000  | 2754         | 1650          | 018844/0224     | 15       | 69         | 4          |

3123-149-1 3

David M Sigmond  
Maxtor Corporation  
2190 Miller Drive  
Longmont, CO 80501

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MAY - 5 2000  
TC 2700 MAIL ROOM  
Date Mailed: 04/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Naum V. Gitis, Cupertino, CA ;  
Victor Dunn, San Jose, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/161,234 12/02/1993, WHICH IS A CONTINUATION-IN-PART  
OF 07/992,270, 12/14/92  
(ABANDONED).

## Foreign Applications

If Required, Foreign Filing License Granted 04/11/2000

\*\*

## Title

DEBRIS

Magnetic head slider with resistance to debris accumulation

## Preliminary Class

360

Data entry by : SASFAI, DAVID

Team : OIPE

Date: 04/11/2000





**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Gitis et al.  
Assignee: Maxtor Corporation  
Title: MAGNETIC HEAD SLIDER WITH RESISTANCE TO DEBRIS ACCUMULATION  
Serial No.: Unknown Filed: Herewith  
Examiner: Unknown Group Art Unit: Unknown  
Atty. Docket No.: 3123-149-1

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

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**PRELIMINARY AMENDMENT**

Dear Sir:

The captioned-application is a continuation of pending prior U.S. Application Serial No. 08/161,234, filed December 2, 1993, which is a continuation-in-part of U.S. Application Serial No. 07/992,270, filed December 14, 1992 (abandoned). The '234 application incorporated by reference the '270 application at page 12, lines 1-4. The captioned-application is based on and only contains subject matter disclosed in the '270 application. Therefore, the effective filing date for the captioned-application is December 14, 1992. Please amend the captioned-application, based on the '270 application, as follows.

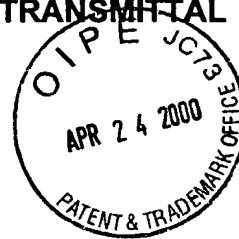
**In the Abstract**

Page 15, line 3, delete "region".

Page 15, line 4, delete "region".

# CONTINUATION PATENT APPLICATION TRANSMITTAL

(filed under 37 C.F.R. §1.53(b))



Attorney Docket No.: 3123-149-1

Inventors: Naum V. Gitis of Cupertino, California  
Victor Dunn of San Jose, California

Title: MAGNETIC HEAD SLIDER WITH RESISTANCE TO DEBRIS ACCUMULATION

Prior Group Art Unit: 2512

Prior Examiner: Korzuch, W.

**Box Patent Application**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

This is a Continuation application of pending prior U.S. Application Serial No. 08/161,234, filed December 2, 1993, which is a continuation-in-part of U.S. Application Serial No. 07/992,270, filed December 14, 1992 (abandoned). The entire disclosure of the '270 application, from which a copy of the oath or declaration is supplied, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference.

Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Copy of the entire '270 application as originally filed, consisting of
  - Specification (12 pages)
  - Claims (2 pages)
  - Abstract (1 page)
  - Drawings (3 sheets) (informal)
2. ☒ Copy of Declaration from the '270 application
3. ☐ Microfiche Computer Program (Appendix)
4. ☐ Assignment Papers (*cover sheet & document(s)*)
5. ☐ Power of Attorney
6. ☐ Statement under 37 C.F.R. § 3.73(b) Establishing Right of Assignee to Take Action
7. ☐ Terminal Disclaimer
8. ☐ Submission of Proposed Drawing Amendment for Approval by Examiner
9. ☒ Information Disclosure Statement (IDS/PTO-1449)
10. ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Postcard (MPEP 503) (*should be specifically itemized*)
13. ☐ Small Entity Statement

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Magnetic Head Slider with Resistance to Debris Accumulation

the specification of which

  X   Is attached hereto.  
\_\_\_\_\_ was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the same was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| <u>Prior Foreign Application(s)</u> |           |                        | <u>Priority Claimed</u> |    |
|-------------------------------------|-----------|------------------------|-------------------------|----|
| (Number)                            | (Country) | (Day/Month/Year Filed) | Yes                     | No |
| _____                               | _____     | _____                  | Yes                     | No |
| _____                               | _____     | _____                  | Yes                     | No |
| _____                               | _____     | _____                  | Yes                     | No |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

|                          |             |   |
|--------------------------|-------------|---|
| _____                    | _____       | _____                                       |
| (Application Serial No.) | Filing Date | (Status -- patented,<br>pending, abandoned) |
| _____                    | _____       | _____                                       |
| (Application Serial No.) | Filing Date | (Status -- patented,<br>pending, abandoned) |

## COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION OR C-I-P)

As a below named inventor, I hereby declare that:

## TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

- ☐ original  
☐ design  
☒ supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.

- ☐ national stage of PCT

NOTE: If one of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR C-I-P.

- ☐ divisional  
☐ continuation  
☒ continuation-in-part (C-I-P)

## INVENTORSHIP IDENTIFICATION

**WARNING:** If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## TITLE OF INVENTION

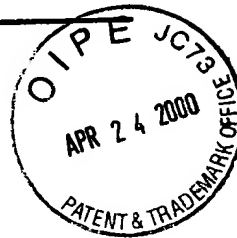
A MAGNETIC RECORDER APPARATUS WITH REDUCED DEBRIS  
ACCUMULATION ON THE RECORDER HEAD AND SLIDER

## SPECIFICATION IDENTIFICATION

the specification of which: (complete (a), (b) or (c))

- (a) ☐ is attached hereto.  
(b) ☒ was filed on December 2, 1993 as ☒ Serial No. 08 / 161,234  
or ☐ Express Mail No., as Serial No. not yet known \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

(Declaration and Power of Attorney [1-1]—page 1 of 5)



**NOTE:** Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

- (c) ☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

### **ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information

- ☒ which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56

*(also check the following items, if desired)*

- ☒ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent, and
- ☐ In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

### **PRIORITY CLAIM (35 U.S.C. § 119)**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

*(complete (d) or (e))*

- (d) ☒ no such applications have been filed.
- (e) ☐ such applications have been filed as follows.

**NOTE:** Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.



**A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION  
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119**

| COUNTRY (OR<br>INDICATE IF<br>PCT) | APPLICATION NUMBER | DATE OF FILING<br>(day, month, year) | PRIORITY CLAIMED<br>UNDER 37 USC 119                        |
|------------------------------------|--------------------|--------------------------------------|---|
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

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**NOTE:** *If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR C-I-P APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. § 120.*

**POWER OF ATTORNEY**

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(List name and registration number)*

Emery L. Tracy, Reg. No. 34,081

*(check the following item, if applicable)*

- ☐ Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

(Declaration and Power of Attorney [1-1]—page 3 of 5)

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:  
(Name and telephone number)

Emery L. Tracy  
Maxtor Corporation  
2190 Miller Drive  
Longmont, CO 80501

Emery L. Tracy  
(303) 678-2202

### DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.

Full name of sole or first inventor

R.  
(GIVEN NAME)

Larry  
(MIDDLE INITIAL OR NAME)

Comstock  
(FAMILY OR LAST NAME)

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence San Jose, California

Post Office Address 6574 Crystal Springs Drive  
San Jose, CA 95123

Full name of second joint inventor, if any

Naum  
(GIVEN NAME)

V.  
(MIDDLE INITIAL OR NAME)

Gitis  
(FAMILY OR LAST NAME)

Inventor's signature Naum Gitis

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence Cupertino, California

Post Office Address 10200 Miller Avenue, No. 209  
Cupertino, CA 95014

Full name of third joint inventor, if any

Robert

(GIVEN NAME)

L.

(MIDDLE INITIAL OR NAME)

Smith

(FAMILY OR LAST NAME)

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence Cupertino, California

Post Office Address 10270 Parkwood Drive, No. 10

Cupertino, CA 95014

**CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH  
FORM A PART OF THIS DECLARATION**

- ☐ Signature for fourth and subsequent joint inventors. Number of pages added \_\_\_\_\_

. . .

- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. Number of pages added \_\_\_\_\_

. . .

- ☐ Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. Number of pages added \_\_\_\_\_

. . .

- ☐ Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time (37 CFR 1.47).

. . .

- ☐ Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.

☐ Number of pages added \_\_\_\_\_

. . .

- ☐ Authorization of attorney(s) to accept and follow instructions from representative.

. . .

*(If no further pages form a part of this Declaration, then end this Declaration with this page and check the following item:)*

- ☐ This declaration ends with this page.



# **ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR C-I-P APPLICATION**

*(complete this part only if this is a divisional, continuation or C-I-P application)*

## **CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information that is material to the examination of this application, namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

| PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS<br>DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120: |                    |  |                    |           |  |
|---|--------------------|--|--------------------|-----------|--|
| U.S. APPLICATIONS   |                    |  | Status (Check one) |           |  |
| U.S. APPLICATIONS   | U.S. FILING DATE   | Patented                                 | Pending            | Abandoned |  |
| 1. 07/992,270   | December 14, 1992  |  | X                  |           |  |
| 2. 08/167,530   | December 14, 1993  |  | X                  |           |  |
| 3. 0 /  |                    |  |                    |           |  |
| PCT APPLICATIONS DESIGNATING THE U.S.   |                    |  |                    |           |  |
| PCT APPLI-<br>CATION NO.  | PCT FILING<br>DATE | U.S. SERIAL<br>NOS. ASSIGNED<br>(if any) |                    |           |  |
| 4. _____  |                    |  |                    |           |  |
| 5. _____  |                    |  |                    |           |  |
| 6. _____  |                    |  |                    |           |  |

(Added Page to Combined Declaration and Power of Attorney for Divisional, Continuation or C-I-P Application [1-2.1]—page 1 of 2)

**35 USC 119 PRIORITY CLAIM, IF ANY, FOR ABOVE LISTED U.S./PCT APPLICATIONS**

| ABOVE APPLICATION NO. | DETAILS OF FOREIGN APPLICATION FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 |                                   |                                  |
|-----------------------|---|-----------------------------------|----------------------------------|
|                       | Country and Application No.   | Date of filing (day, month, year) | Date of issue (day, month, year) |
| 1.                    |   |                                   |                                  |
| 2.                    |   |                                   |                                  |
| 3.                    |   |                                   |                                  |
| 4.                    |   |                                   |                                  |
| 5.                    |   |                                   |                                  |
| 6.                    |   |                                   |                                  |

## COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION OR C-I-P)

As a below named inventor, I hereby declare that:

## TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

- ☐ original  
☐ design  
☒ supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.

- ☐ national stage of PCT

NOTE: If one of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR C-I-P.

- ☐ divisional  
☐ continuation  
☒ continuation-in-part (C-I-P)

## INVENTORSHIP IDENTIFICATION

**WARNING:** If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## TITLE OF INVENTION

A MAGNETIC RECORDER APPARATUS WITH REDUCED DEBRIS  
ACCUMULATION ON THE RECORDER HEAD AND SLIDER

## SPECIFICATION IDENTIFICATION

the specification of which: (complete (a), (b) or (c))

- (a) ☐ is attached hereto.  
(b) ☒ was filed on December 2, 1993 as ☒ Serial No. 08 / 161,234  
or ☐ Express Mail No., as Serial No. not yet known \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

(Declaration and Power of Attorney [1-1]—page 1 of 5)

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

- (c) ☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

#### ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information

- ☒ which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56

*(also check the following items, if desired)*

- ☒ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent, and
- ☐ In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

#### PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

----- (complete (d) or (e))

- (d) ☒ no such applications have been filed.
- (e) ☐ such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

**A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION  
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119**

| COUNTRY (OR<br>INDICATE IF<br>PCT) | APPLICATION NUMBER | DATE OF FILING<br>(day, month, year) | PRIORITY CLAIMED<br>UNDER 37 USC 119                        |
|------------------------------------|--------------------|--------------------------------------|---|
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |
|                                    |                    |                                      | <input type="checkbox"/> YES    NO <input type="checkbox"/> |

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

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**NOTE:** If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete **ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR C-I-P APPLICATION** for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. § 120.

**POWER OF ATTORNEY**

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(List name and registration number)*

Emery L. Tracy, Reg. No. 34,081

*(check the following item, if applicable)*

- ☐ Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

(Declaration and Power of Attorney [1-1]—page 3 of 5)



SEND CORRESPONDENCE TO

Emery L. Tracy  
Maxtor Corporation  
2190 Miller Drive  
Longmont, CO 80501



DIRECT TELEPHONE CALLS TO:  
(Name and telephone number)

Emery L. Tracy  
(303) 678-2202

### DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.

Full name of sole or first inventor

RICHARD LAWRENCE Comstock  
(GIVEN NAME) (MIDDLE INITIAL OR NAME) (FAMILY (OR LAST NAME))

Inventor's signature [Signature]

Date \_\_\_\_\_ Country of Citizenship U.S.A.

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Full name of second joint inventor, if any

Naum V. Gitis  
(GIVEN NAME) (MIDDLE INITIAL OR NAME) (FAMILY (OR LAST NAME))

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence Cupertino, California

Post Office Address 10200 Miller Avenue, No. 209  
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Full name of third joint inventor, if any

Robert

(GIVEN NAME)

L.

(MIDDLE INITIAL OR NAME)

Smith

(FAMILY OR LAST NAME)

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_ Country of Citizenship U.S.A.

Residence Cupertino, California

Post Office Address 10270 Parkwood Drive, No. 10

Cupertino, CA 95014

**CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH  
FORM A PART OF THIS DECLARATION**

- ☐ Signature for fourth and subsequent joint inventors. *Number of pages added* \_\_\_\_\_

. . .

- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. *Number of pages added* \_\_\_\_\_

. . .

- ☐ Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. *Number of pages added* \_\_\_\_\_

. . .

- ☐ Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time (37 CFR 1.47).

. . .

- ☐ Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.

☐ Number of pages added \_\_\_\_\_

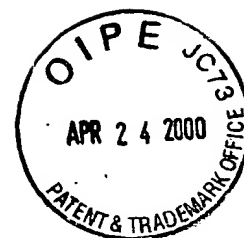
. . .

- ☐ Authorization of attorney(s) to accept and follow instructions from representative.

. . .

*(If no further pages form a part of this Declaration, then end this Declaration with this page and check the following item:)*

- ☐ This declaration ends with this page.



# **ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR C-I-P APPLICATION**

*(complete this part only if this is a divisional, continuation or C-I-P application)*

## **CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information that is material to the examination of this application, namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

| PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS<br>DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120: |                    |  |                    |           |  |
|---|--------------------|--|--------------------|-----------|--|
| U.S. APPLICATIONS   |                    |  | Status (Check one) |           |  |
| U.S. APPLICATIONS   | U.S. FILING DATE   | Patented                                 | Pending            | Abandoned |  |
| 1. 07/992,270   | December 14, 1992  |  | X                  |           |  |
| 2. 08/167,530   | December 14, 1993  |  | X                  |           |  |
| 3. 0 /  |                    |  |                    |           |  |
| PCT APPLICATIONS DESIGNATING THE U.S.   |                    |  |                    |           |  |
| PCT APPLI-<br>CATION NO.  | PCT FILING<br>DATE | U.S. SERIAL<br>NOS. ASSIGNED<br>(if any) |                    |           |  |
| 4. _____  |                    |  |                    |           |  |
| 5. _____  |                    |  |                    |           |  |
| 6. _____  |                    |  |                    |           |  |

(Added Page to Combined Declaration and Power of Attorney for Divisional, Continuation or C-I-P Application [1-2.1]—page 1 of 2)

**35 USC 119 PRIORITY CLAIM, IF ANY, FOR ABOVE LISTED U.S./PCT APPLICATIONS**

| ABOVE APPLICATION NO. | DETAILS OF FOREIGN APPLICATION FROM WHICH PRIORITY CLAIMED UNDER 35 USC 119 |                                   |                                  |
|-----------------------|---|-----------------------------------|----------------------------------|
|                       | Country and Application No.   | Date of filing (day, month, year) | Date of issue (day, month, year) |
| 1.                    |   |                                   |                                  |
| 2.                    |   |                                   |                                  |
| 3.                    |   |                                   |                                  |
| 4.                    |   |                                   |                                  |
| 5.                    |   |                                   |                                  |
| 6.                    |   |                                   |                                  |

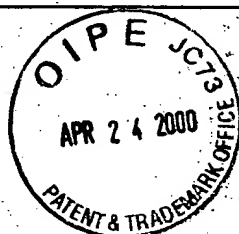
**FILING RECEIPT  
CORRECTED**



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231**

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/416,134         | 10/12/99    | 2754         | \$1,174.00    | 3123-66-1           | 5     | 30     | 6      |

**DAVID M. SIGMOND  
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)** R. LARRY COMSTOCK, SAN JOSE, CA; NAUM V. GITIS, CUPERTINO, CA; ROBERT L. SMITH, CUPERTINO, CA.

**CONTINUING DATA AS CLAIMED BY APPLICANT-**

THIS APPLN IS A CON OF 08/161,234 12/02/93  
WHICH IS A CIP OF 07/992,270 12/14/92 ABN

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/04/99**

**TITLE**

**DISK DRIVE WITH REDUCED ELECTROSTATIC CHARGE ON SLIDER/HEAD ASSEMBLY  
(AS AMENDED)**

**PRELIMINARY CLASS: 360**

**DATA ENTRY BY: ANISTEAD, KIRK TEAM: 04 DATE: 12/15/99**

(See reverse for new important information)